

Calculus 2502A - Advanced Calculus I
Fall 2014
§14.3: Clairaut's theorem

Martin Frankland

November 5, 2014

Theorem 1 (Clairaut's theorem). *Let $f: D \rightarrow \mathbb{R}$ be a function with domain $D \subseteq \mathbb{R}^2$, and let (a, b) be an interior point of D . If the second partial derivatives f_{xy} and f_{yx} exist and are continuous in a neighborhood of (a, b) , then they satisfy $f_{xy}(a, b) = f_{yx}(a, b)$.*

The following (non-)example illustrates why the assumptions of the theorem are important.

Example 2 (# 14.3.101). Let $f: \mathbb{R}^2 \rightarrow \mathbb{R}$ be the function defined by

$$f(x, y) = \begin{cases} \frac{x^3y - xy^3}{x^2 + y^2} & \text{if } (x, y) \neq (0, 0) \\ 0 & \text{if } (x, y) = (0, 0). \end{cases}$$

At all points $(x, y) \neq (0, 0)$, the partial derivative f_x is given by:

$$\begin{aligned} f_x(x, y) &= \frac{(3x^2y - y^3)(x^2 + y^2) - (x^3y - xy^3)(2x)}{(x^2 + y^2)^2} \\ &= \frac{3x^4y + 3x^2y^3 - x^2y^3 - y^5 - 2x^4y + 2x^2y^3}{(x^2 + y^2)^2} \\ &= \frac{x^4y + 4x^2y^3 - y^5}{(x^2 + y^2)^2}. \end{aligned}$$

Given the equality $f(x, 0) = 0$ for all x , we have $f_x(0, 0) = 0$ and therefore:

$$f_x(x, y) = \begin{cases} \frac{x^4y + 4x^2y^3 - y^5}{(x^2 + y^2)^2} & \text{if } (x, y) \neq (0, 0) \\ 0 & \text{if } (x, y) = (0, 0). \end{cases}$$

Likewise, the partial derivative f_y is given by:

$$f_y(x, y) = \begin{cases} \frac{x^5 - 4x^3y^2 - xy^4}{(x^2 + y^2)^2} & \text{if } (x, y) \neq (0, 0) \\ 0 & \text{if } (x, y) = (0, 0). \end{cases}$$

To compute the mixed partial derivatives at the origin, consider:

$$f_x(0, y) = \frac{-y^5}{y^4} = -y$$

for all y , which implies $f_{xy}(0, 0) = -1$. Likewise, we have:

$$f_y(x, 0) = \frac{x^5}{x^4} = x$$

for all x , which implies $f_{yx}(0, 0) = 1$.

Does this contradict Clairaut's theorem? No: f does not satisfy the assumptions of the theorem. The second partial derivatives f_{xy} and f_{yx} (and f_{xx} and f_{yy} for that matter) exist on all of \mathbb{R}^2 , in particular in a neighborhood of $(0, 0)$. However, f_{xy} and f_{yx} are not continuous at $(0, 0)$.